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Patent  
PD-202129  
Customer No. 020991

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Ernest C. Chen  
et al.

Serial No.: 10/691,133  
Filed: October 22, 2003

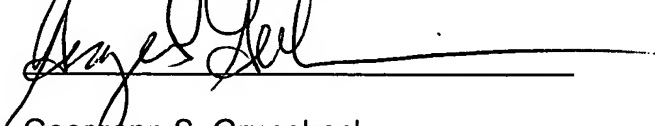
For: EQUALIZERS FOR LAYERED  
MODULATED AND OTHER  
SIGNALS

Group Art Unit: 2611

Examiner: BAYARD, Emmanuel

: I hereby certify that this correspondence is  
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: 22313-1450, on the date below:

:   
: \_\_\_\_\_

: Georgann S. Grunebach

: Typed or printed name of person signing certificate

: Date: September 14, 2006

**SUPPLEMENTAL DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97 (c)**

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The references cited on the enclosed form PTO/SB/08A may be relevant or material to the examination of the above-identified patent application and are, therefore, submitted in compliance with the duty of disclosure defined in 37 C.F.R. §1.56.

The references were cited in a Notice of Allowance dated August 23, 2006 in related patent application serial number 10/532,582 filed on April 25, 2005.

This statement should be considered because it is submitted after the mailing date of a first Office action on-the-merits, but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

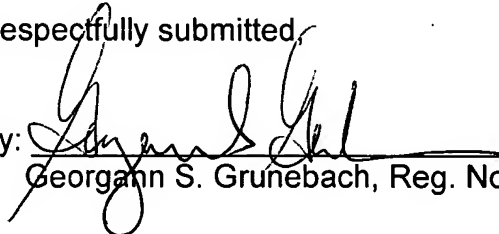
Certification Under 37 C.F.R. §1.97(e)

No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the information disclosure statement (certification under 37 C.F.R. § 1.97 (e)(2)).

This Information Disclosure Statement is not to be construed as a representation that a search has recently been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,

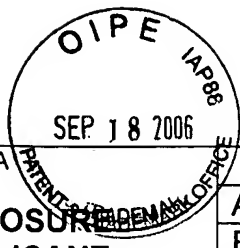
By:

  
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September 14, 2006



Substitute for form PTO/SB/08A

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Complete if Known

Application Number	10/691,133
Filing Date	10-22-2003
First Named Inventor	Ernest C. Chen
Art Unit	2611
Examiner Name	BAYARD, Emmanuel
Date:	September 14, 2006
Sheet 1 of 1	Attorney Docket Number PD-202129

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document Number  Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,970,496	11-2005	Ben-Bassat et al.	
		US-2003/0002471	01-2003	Crawford et al.	
		US-2006/0056541	03-2006	Chen et al.	
		US-5,577,067	11-1996	Zimmerman	

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		CHEN, ERNEST et al.; "DVB-S2 Backward-Compatible Modes: A Bridge Between the Present and the Future"; International Journal of Satellite Communications and Networking; Volume 22, Issue 3, pages 341-365; published 2004 by John Wiley & Sons, Ltd.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.